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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frederick M. Morgan, et al.
Serial No: 10/040,292
Conf. No.: 1752
Filed: October 25, 2001
For: LIGHT SOURCES FOR ILLUMINATION OF LIQUIDS

Examiner: Not yet assigned
Art Unit: 2821

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, Washington, D.C. 20231, on the 20th day of August, 2002.

Janine Michalski
Janine Michalski

BOX NON-FEE AMENDMENT
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing are the following documents:

Preliminary Amendment
 Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

No fee is enclosed. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

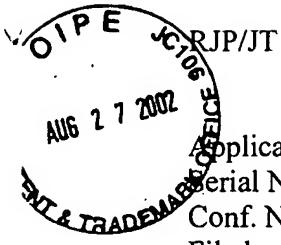
By:

Joseph Teja, Jr.
Joseph Teja, Jr., Reg. No. 45,157
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. (617) 720-3500

Docket No. C01104/70089

Dated: August 20, 2002

X (NDD)



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Janine Michalski
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COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Subsequent to a first Preliminary Amendment dated February 19, 2002 in the above-identified application, and prior to examination on the merits, please enter the following amendments in this application:

IN THE DRAWINGS

Please make the changes to Figs. 4, 6, 7, 16B, and 17 as indicated in red on the attached sheets of informal drawings as filed with the present application. A copy of formal drawings including the changes indicated on the attached marked-up informal drawings has already been submitted to the Official Draftsperson under separate cover dated May 14, 2002.